Section V. Miscellanea Research article

pp. 145 – 148

doi: 10.7427/DDI.20.20

20.

Contributions to the knowledge on the impact of the administrative changes on the research performance

TÖRÖK Liliana

Danube Delta National Institute for Research and Development: 165 Babadag street, Tulcea - 820112, Romania; e-mail: liliana.torok@ddni.ro

BSTRACT. The paper present a case study of assessing the impact of administrative reorganization on scientific performance. In order to predict the future evolution of the research activity at the institutional level, assessing of trends was based on bibliometric analyses of two priority evaluation criteria established by the Romanian Ministry for National Education, respectively by examination of the dynamincs in publications (written by employees of NIRDEP – subunit Danube Delta National Institute for Research and Development - DDNIRD) in national and international journals, of the performance of authorship in the context of their scientific contributions articles, of the national and international recognition of the quality of scientific works (e.g. number of citations in ISI journals) and of the dynamics of funds for research activities. By analysing the scientific outputs and the incomes from research activities in 2007 – 2009 period, respectively in 2011 – 2013 period, there was established that the decreasing trend in number of works published in 2011 – 2013 period could have a negative impact on the results of assessment of the scientific performance of DDNIRD with the occasion of the next national evaluation of the research institutions, meanwhile the respective assessments. Furthermore, the decreasing trend in the incomes for the research activities could jeopardize the proper functioning of the research activities.

Key words: research activity, performance, bibliometric analyses, adaptation

INTRODUCTION

The evaluation of the scientific work is among the key driving forces behind modern scientific advancements [11]. In the international and national literature there are advanced bibliometric analyses that usually include:

- activity measurements ([1]; [2]; [6]; [8]);
- impact measurements (considered the most important ones) ([9]; [11]; [13]; [14]);
- conceptual and methodological measuremenets ([5]; [6]; [10]; [11]; [24]);
- linkage measurements [4].

It is relevant to know for the future evolution and development of the institution whether an institutional reorganization could influence the research performance and how fast a group of people will adapte to this new situation in order to avoid the collapse of the institution.

MATERIALS AND METHODS

Criteria used in the present paper is according to the National Strategy for Research and Development, promoted by the National Ministry for Education [9] through governmental decision ([21]) and order of ministry [26], and the methodology developed during the national research assessment exercise [24]. Two selected criteria have been chosen from the above mentioned methodology, namely: first criteria (related to evaluation of the research activities) and fourth criteria (related to incomes for research activities).

Time series data have been provided by employees' annual reports and Curriculum Vitae's, previously published information ([15]; [17]) and annual financial reports. The income of each of the analysed years have been converted into euro (using the average value of the converted year, according to National Bank of Romania provided by its dataset platfome [29]).

Data bases provided by the CiteFactor server [27] for ranking the international journals and proceedings and SCImago Journal Rank (SJR) indicator platform [28] have been used for checking the visibility of the author's publications.

In order to evaluate the impact of institutional administrative reorganization on the research performance of the institute, a comparative analysis have been performed using the following indicators:

- the ratio between average of Impact Factors / year and total publications,
- the average of the number of citations of all papers published by the employees of the institute,
- the average of number of authors per scientific article,
- · the average of incomes,

in the three years before (2007 -2009) and three years after (2011 - 2013) institutional reorganization.

RESULTS AND DISCUSSIONS

In the recent years there have been important changes in the organizational structures of the Romanian research institutions. These changes have been done by merging of institutions [23], within the general framework of Government Strategy. In this process the Danube Delta National Institute for Research and Development (DDNIRD) (currently, subunit of NIRDEP) was also

L-ISSN: 1842 - 614X 145

included ([22]; [25]). In this respect, the most relevant issue is the debate on "if" or "how" the employees's research activity and productivity "can" or "should" be improved during the adaptation process of the employees.

The serial data includes a total number of 204 publications written by authors that were DDNIRD employees in 2007 – 2013 period. The accuracy of information have been checked in the archive of the journals (if the information was available on-line). The respective analyses did not include those works that could not be found in the data bases of the journals and the works that did not have all the identification elements, as follow:

- in case of the scientific articles: author(s) name(s), year of publication, title of article, distinctive title of journal (in full), number of volume and issue (if the case), pages (first page and last page of the article), serial version identifier (ISSN) or digital objective identifier (doi) (if the case);
- in case of proceedings: author(s) name(s), year of publication, distinctive title of conference (in full), pages (first page and last page), serial version identifier (ISBN) or / and digital objective identifier (doi);
- in case of books: author(s) name(s), year of publication, distinctive title of book (in full), number of last page, serial version identifier (ISBN) or / and digital objective identifier (doi):
- in case of chapters of a book: author(s) name(s), year of publication(s), distinctive title of chapter, first page and last page of the chapter, name of editor(s) or author(s) of the book, distinctive title of book (in full) (in which the chapter has been included), number of last page of the book, serial version identifier (ISBN) or / and digital objective identifier (doi);
- in case of abstracts: author(s) name(s), year of publication, distinctive title of abstract (in full), page of the abstract, name of the editor(s), distinctive title (in full) of abstract book in which the abstract has been included, serial version identifier (ISBN) or / and digital objective identifier (doi).

For analyses of short communications and of works published in popular science magazines, the same criteria have been used as in case of scientific articles.

Due to the lack of the above mentioned identification elements, mostly the proceedings, abstracts and popular science were excluded from the analyses of the bibliographic scientific products of the DDNIRD.

According to the first criterion, results of the anlayses of artciles published in journals show the practice regarding the number of authors and authorship. Factors as: project leader, loyalty or obligation instead of effort and contributions were identified as affecting the decisions of choose the order of authors in the research article ([7]; [12]).

At institution level assessment, the interest to communicate the results of the research activities (measured by the number of publications and the number of researchers, authors or coauthors of the publication out of the total number of researchers) recorded a decresing trend in the last few years. This asspect could suggest:

- inability to obtain sufficient relevant data to describe a phenomena or to make a characterization of a particulary study area (e.g. due to a lack of funds needs to conduct detailed studies) or
- the lost of interest to communicate the results of their activities (e.g. inability to adapt and respond to the new organizational requirements of the institution, as in case of "Mendel syndrome" in science [3] the existence of a kind of lack of appreciation to thier works and the lack of being rewarded by the sistem).

Question of how ethical are the practices to choose to not communicate the results of the research activities or to accept nomination in authorship's without having a significant contribution to the scientific work and how it can influence the future evolution (especially to a young researcher) should be major issue to the strategic management plan of the institution, in order to maintain and develop the desire for recognition of and promotion to a high research level.

By other hand, the effect of high number of co-authours could influence the research productivity. The present study stresses that there is a direct and negative corelation between the number of coauthors and the respective persons' capacity to evolve on professional level. Due to such situation, it is very difficult to get the national evaluation credit [26] and professional evolution of the researcher could be jeopardised. Pressure due to national evaluation criteria of the scientific activity (mainly through credits from disseminateing the results of the scientific activities by choosing to publish in journals high ranking abroad) is also one of the main reasons why the published results decreased and the researchers avoid to publish in the national scientific journals. Undoubtedly, in these conditions there will be a negative impact on how to increase the visibility of research activities, and moreover emerge the question: How could the national scientific journals to get high ranking if researcher from Romaina only choose to publish in foreign/international journals?

Assessment of changes in the scientific performance (due the administrative reorganization) carried out by comparing the indicator factors of the two selected periods (three years before, respectively three years after administrative organization) shows that the total number of publications was 1.39 times higher in the three years before the administrative changes (in comparison with the total number of works published in the three years after the administrative changes) (**Fig. 1**).

The same decreasing trend was in case of the number of articles published in *Scientific Annals of the Danube Delta Institute* (the scientific journal edited by DDNIRD), even if in the same period the number of researcher from abroud (which published their work in *Scientific Annals of the Danube Delta Institute*) increased ([18]; [19]). The effect of increased interest is of interest because this could influence the internation quotation of the journal.

L-ISSN: 1842 - 614X 146

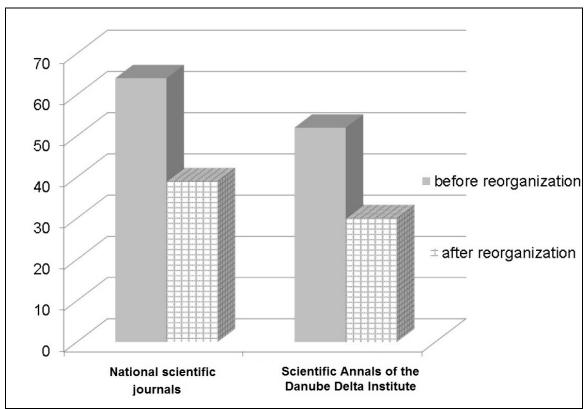


Fig. 1. Comparative analysis of published scientific papers during the period of administrative reorganization of the DDNIRD.

The analysis of the variation in number of publication and of the variation in the budget for research activities in the two periods shows that the dissemination of the scientific activities (through publication) was less influenced by the administrative reorganisation in comparison with the average income in the same period (this later one decreased in 2011 – 2013 in comparison with 2007 – 2009 period) (**Table 1**).

Publication-related indexes vs income before and after the administrative reorganization

Table 1

	ratio AIF/publication	Average number of articles cited in ISI journals	Average number of authors/article	Average of income (euro)
2007-2009	0.03	26.33	3.50	11568131.00
2010				
2011-2013	0.17	50.67	3.54	9535189.00

Note. AIF - Average Impact Factor.

The analyses are important sources for objective information on the quality of scientific activities. An institutional policy to develop a periodical evaluation programme may not be satisfactory at individual level, but is a valide strategy to the improvement of the scientific productivity of the institution.

CONCLUSIONS

In the two analysed period (three years before, respectively three years after the administrative reorganization) there were no relevant changes in authorship practices.

The decreasing trend in number of works published in 2011 – 2013 period could have a negative impact on the results of assessment of the scientific performance of DDNIRD with the occasion of the next national evaluation of the research institutions, meanwhile the increasing trend (in 2011 – 2013 period) in the number of works cited in articles published in ISI journals will have a positive impact on the results of the respective assessments. Furthermore, the decresing trend in the incomes for the research activities could jeopardize (on mid-term and long-term) the proper functioning of the research activities.

ACKNOWLEDGMENTS. The author is grateful to Ms Ana Bulete for the details on distribution of funds during the analysed periods and to those researchers who provided accurate information in their self-evaluation reports.

L-ISSN: 1842 - 614X 147

REFERENCES

- [1]. ALVES (A. D.), YANASSE (H. H.), SOMA (N. Y.), 2014 Benford's Law and articles of scientific journals: comparison of JCR_ and Scopus data. IN: Scientometrics, vol. 98, No. 1, pp. 173 - 184. doi: 10.1007/s11192-013-1030-8
- [2]. BELTER (C. W.), 2013 A bibliometric analysis of NOAA's Office of Ocean Exploration and Research. IN: Scientometrics, vol. 95, No. 2, pp. 629 - 644. doi: 10.1007/s11192-012-0836-0
- [3]. COSTAS (R.), VAN LEEUWEN (T. H.) VAN RANN (A. F. J.), 2011 The "Mendel syndrome" in science: durability of scientific literature and its effects on bibliometric analysis of individual scientists. IN: Scientometrics, vol. 98, No. 1, pp. 177 - 205. doi: 10.1007/s11192-011-0436-4
- CLARO (J.), COSTA (C. A. V.), 2011 A made-to-measure indicator for cross-disciplinary bibliometric ranking of researchers performance. IN: Scientometrics, vol. 96, No. 1, pp. 113 - 123. doi: 10.1007/s11192-010-0241-5
- [5]. IVANOVIĆ (D.), SURLA (D.), RACKOVIĆ (M.), 2011 A CERIF data model extension for evaluation and quantitative expression of scientific research results. IN: Scientometrics, vol. 96, No. 1, pp. 155 -172. doi: 10.1007/s11192-010-0228-2
- [6]. LEYDESDORFF (L.), BORNMANN (L.), 2012 Testing differences statistically with the Leiden ranking. IN: Scientometrics, vol. 92, No. 3, pp. 781 - 783. doi: 10.1007/s11192-012-0636-6
- [7]. MARUŠIĆ (A.), BOŠNJAK (L.), JERONČIĆ (A.), 2011 A Systematic Review of Research on the Meaning, Ethics and Practices of Authorship across Scholarly Disciplines. IN: PLoS ONE, vol. 6, No. 9: e23477. doi:10.1371/journal.pone.0023477
- NICA (P.), 2004 Analiza performantelor organizationale ale institutelor nationale de cercetare dezvoltare în anul 2003. 89 p. Report compiled by P. Nica for the Ministry of Education and Research (Ministerul Educatiei și Cercetării). Bucharest, Romania. [in Romanian]
- [9]. OHLER (F.), IONIȚĂ (M.), ȚONCU (A. C.), 2012 Romania's Research, Development and Innovation policy: Another view on European integration - Some lessons from the mid-term evaluation of Romania's National RDI Strategy and the National RDI Plan 2007-2013. IN: Revista de politică a științei și scientometrie - Serie nouă, vol. 1, No. 1, pp. 3 -14.
- [10]. RAHUL (P. R. C.), 2013 r-index: Quantifying the quality of an individual's scientific research output. IN: *Journal of Scientometric Research*, vol 2, No. 1, pp. 80 82.
- [11] ROSAS (S. R.), KAGAN (J. M.), SCHOUTEN (J. T.), SLACK (P. A.), TROCHIM (W. M. K.), 2011 Evaluating Research and Impact: A Bibliometric Analysis of Research by the NIH/NIAID HIV/AIDS Clinical Trials Networks. IN: PLoS ONE, vol. 6, No. 3: e17428. doi:10.1371/journal.pone.0017428
- [12]. SOUDER (L.), 2011 The ethics of scholarly peer review: a review of the literature. IN: Learned Publishing, vol. 24, No.1, pp. 55 72. doi:10.1087/20110109
- [13]. SANGWAL (K.), 2013 Some citation-related characteristics of scientific journals published in individual countries. IN: Scientometrics, vol. 97, No. 3, pp. 719 - 741. doi: 10.1007/s11192-013-1053-1
- [14]. TRESSOLDI (P. E.), GIOFRÉ (D.), SELLA (F.), Cumming (G.), 2013 High Impact = High Statistical Standards? Not Necessarily So. IN: PLoS ONE, vol 8, No. 2: e56180. doi:10.1371/journal.pone.0056180
- [15]. TÖRÖK (L.), 2013 Radiografia activității de cercetare (2012) INCDPM-Institutul National de Cercetare Dezvoltare Delta Dunarii, Conference: 6 st. Regular Internal Scientific Communications-DDNI (RISCs-DDNI). doi: 10.13140/2.1.2956.7044 [in Romanian]
- [16]. TÖRÖK (L.), 2013 Raport privind activitătile de cercetare-dezvoltare desfășurate în anul 2012 de Institutul Național de Cercetare Dezvoltare 'Delta Dunării" Tulcea - subunitate a Institutului National de Cercetare-Dezvoltare pentru Protectia Mediului. IN: DELTAICA, No. 1, 43 p. Publisher: Editura Centrul de Informare Tehnologică "Delta Dunării". Tulcea, Romania. ISSN 2286 - 0789 ; ISBN 978-973-88117-3-7 [in Romanian]
- [17]. TÖRÖK (L.), 2014 Analiza activității de cercetare a INCDPM-INCDDD în 2011-2013, Conference: 13st. Regular Internal Scientific Communications-DDNI (RISCs-DDNI). doi:10.13140/2.1.1908.1288 [in Romanian]
- [18]. TÖRÖK (Zs.), TÖRÖK (L.), 2012 Contribution to a bibliometric analysis of the journal "Scientific Annals of the Danube Delta". IN: Scientific Annals of the Danube Delta Institute, vol. 18, pp. 325-336, Tulcea doi: 10.7427/DDI.18.24
- [19]. TÖRÖK (Zs. Cs.), TÖRÖK (L.), 2013 Trends in the development of the journal "Scientific Annals of the Danube Delta Institute" in 1993 2012 period. IN: Scientific Annals of the Danube Delta Institute, vol. 19, pp. 153 - 164. Tulcea, România. DOI: 10.7427/DDI.19.20
- [20] WERNER (M.), CARDONA (M.), The citation impact outside references formal versus informal citations. IN: Scientometrics,, Vol. 80, No. 1 (2009) 3-23, DOI: 10.1007/s11192-008-1824-2
- ***, 2007 Hotărâre Nr. 551 din 6 iunie 2007 pentru aprobarea Criteriilor si standardelor, precum si a Metodologiei de evaluare si atestare a capacitatii de a desfasura activitati de cercetare-dezvoltare de catre unitati si institutii care au in objectul de activitate cercetarea-dezvoltarea si de acreditare a unitatilor componente ale sistemului de cercetare-dezvoltare de interes national. IN: Monitorul Oficial la României, Partea I, No. 416 (21 June 2007). Bucharest, Romania. [in Romanian]
- [22]. ***, 2009 Hotărârea Guvernului Romnâniei nr. 1442 privind înființarea Institutului Național de Cercetare-Dezvoltare pentru Protecția Mediului și aprobarea regulamentului de organizare și funcționare a acestuia. IN: Monitorul Oficial al României - Partea I, vol. XXI, No. 905 (23 December 2009), pp. 2 - 15. Bucharest, Romania [in Romanian].
- [23] ***, 2009 Lege privind reorganizarea unor autorități și instituții publice, raționalizarea cheltuielilor publice, susținerea mediului de afaceri și respectarea acordurilor-cadru cu Comisia Europeană și Fondul Monetar International. IN: Monitorul Oficial al României - Partea I, vol. XXI, No. 761 (9 November 2009), pp. 2 - 39. Bucharest, Romania [in Romanian].
- [24]. ****, 2010 Exercițiul național de evaluare a cercetării (ENEC). Ghidul general al evaluatorului, pp. 33. [in Romanian]
 [25]. ****, 2010 Hotărârea Guvernului Romnâniei nr. 774 din 28 iulie 2010 pentru modificarea și completarea Hotărârii Guvernului nr. 1.635/2009 privind organizarea și funcționarea Ministerului Mediului și Pădurilor, precum și pentru modificarea Hotărârii Guvernului nr. 1.442/2009 privind Înființarea Institutului Național de Cercetare-Dezvoltare pentru Protecția Mediului și aprobarea regulamentului de organizare și funcționare a acestuia. IN: Monitorul Oficial la României, Partea I, No. 547 (4 August 2010), pp. 3 - 6. Bucharest, Romania. [in Romanian]
- [26]. ***, 2012 Anexele nr. 1 35 la Ordinul ministrului educației, cercetării, tineretului și sportului nr. 6550 din 20 decembrie 2012 privind aprobarea standardelor minimale necesare si obligatorii pentru conferirea titlurilor didactice din invatamantul superior si a gradelor profesionale de cercetare-dezvoltare. IN: Monitorul Oficial la României, Partea I, No. 890 bis (27 December 2012), pp. 1 - 73. Bucharest, Romania. [in Romanian]
- [27]. http://www.citefactor.org/ (accessed on 10 February 2014)
- [28]. http://www.scimagojr.com/index.php (accessed on 10 February 2014)
- [29]. http://www.bnr.ro/Cursul-de-schimb-3544.aspx# (accessed on 10 February 2014)

Manuscript received: 15 II. 2014 / Peer-reviewed: IV. - V. 2014 / Accepted: VI. 2014 / On-line: VI. 2014 / Printed: XII. 2014